

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Robert J Gallagher

Application No.: 09/995318

Filing Date: Nov 26, 2001

Title: Intelligent Apparatus, System And Method For Financial Data Computation And Analysis

Confirmation No.: 9510

Examiner: Zeender, Florian

Group Art Unit: 3627

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:

Transmitted herewith is/are the following in the above-identified application:

- (X) Response/Amendment () Petition to extend time to respond
() New fee as calculated below () Supplemental Declaration
() No additional fee
() Other: (fee \$)

CLAIMS AS AMENDED BY OTHER THAN A SMALL ENTITY						
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEES
TOTAL CLAIMS	8	MINUS	20	= 0	X \$18	\$ 0
INDEP. CLAIMS	8	MINUS	18	= 0	X \$88	\$ 0
[] FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM					+ \$300	\$ 0
EXTENSION FEE	1ST MONTH \$110.00	2ND MONTH \$430.00	3RD MONTH \$980.00	4TH MONTH \$1530.00		\$ 0
OTHER FEES						\$
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$ 0

Charge \$ 0 to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

Respectfully submitted,

Date of Deposit: Nov 30, 2004

Typed Name: Alba P Escobar

Signature:

By

Trueman H Denny III

Attorney/Agent for Applicant(s)

Reg. No. 44,652

Date: Nov 30 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Robert J. Gallagher

Docket No.: 100110474-2

Application No. : 09/995,318

Group Art Unit: 3627

Filed: 11/26/2001

Examiner: Zeender, Florian M.

Title: Intelligent Apparatus, System And Method For Financial Data Computation
And Analysis

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

RESPONSE TO RESTRICTION REQUIREMENT PER 35 U.S.C. §121

Please consider the following which is submitted in connection with an Office Action, mailed **15 November 2004** for the above-identified patent application and is responsive to a prior non-responsive election of claims in a Restriction Requirement mailed on **09 July 2004**.

ELECTION OF CLAIMS by INVENTION & SPECIES

Applicant hereby elects the **Invention I** consisting of **Claims 1 - 3** as set forth on page 2 of the Restriction Requirement mailed on **09 July 2004** AND Applicant elects the Claims of **Species V (Claims 1 - 3, 9 - 11, & 17 - 18)** without traverse for examination on the merits. **Claims 4 - 8, 12 - 16, and 19** are hereby withdrawn from consideration without prejudice or disclaimer.